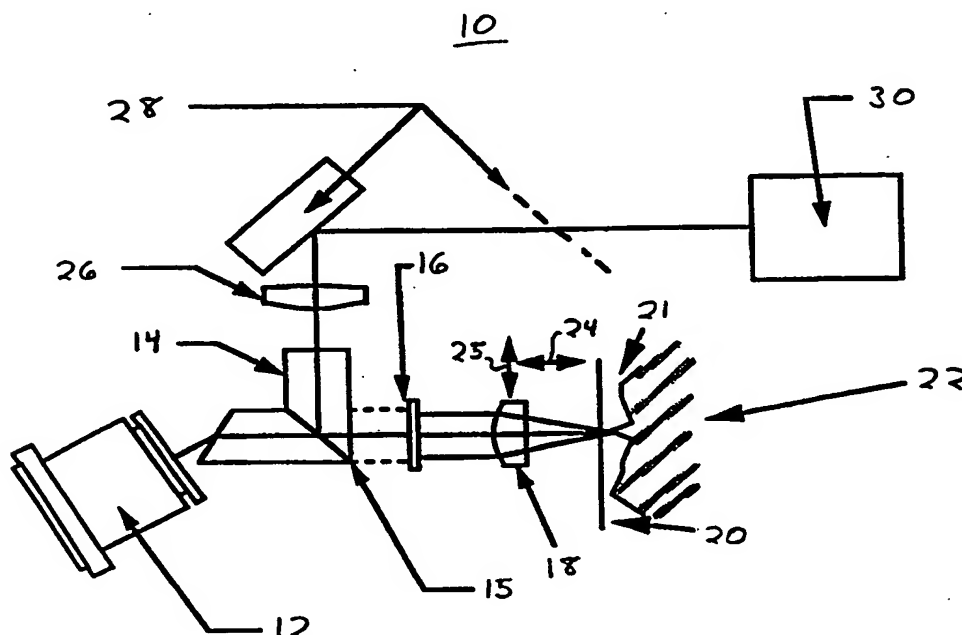


INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶: G02B 21/00	A1	(11) International Publication Number: WO 99/00690 (43) International Publication Date: 7 January 1999 (07.01.99)
(21) International Application Number: PCT/US97/11472 (22) International Filing Date: 30 June 1997 (30.06.97) (71) Applicant (for all designated States except US): LUCID TECHNOLOGIES, INC. [US/US]; 235 Middle Road, Henrietta, NY 14467 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): ZAVISLAN, James, M. [US/US]; 5 Wandering Trail, Pittsford, NY 14534 (US). EASTMAN, Jay, M. [US/US]; 70 VanVoorhis Road, Pittsford, NY 14534 (US). (74) Agent: LUKACHER, Martin; Harris Beach & Wilcox, 130 East Main Street, Rochester, NY 14604 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>

(54) Title: CONFOCAL IMAGING THROUGH THICK DERMAL TISSUE**(57) Abstract**

A handheld confocal imaging system for in vivo observation of dermal and subdermal tissue allows diagnosis of conditions substantially beneath the surface of the skin. A confocal head has optics which scan the tissue so as to provide images of vertical sections of the tissue. Both two and three dimensional imaging may be provided for diagnosis and location of basal cell carcinomas and melanomas, and so as to enable visualization of tumor borders prior to excision.

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Confocal Imaging Through Thick Dermal Tissue

Field Of The Invention

5 The present invention relates to handheld confocal imaging system for in vivo clinical examinations of dermal and subdermal tissues using non-ionizing radiation, and particularly laser radiation which is of a wavelength capable of penetrating into the skin.

10 The invention is especially suitable for providing an instrument for dermal pathology applications. The invention is also applicable for visualizing sections in other scattering media than tissue. The invention enables the use of a laser as a source of illumination. The instrument may provide data to image
15 processing computers, which may be programmed to provide high resolution images of dermal sections.

Background Of The Invention

20 Systems have been proposed for viewing the surface areas of the skin or the external surfaces of internal tissue. Viewing without scanning is described in Pennypacker, U.S. Patent 4,817,622, issued April 4, 1989. Examination of internal tissue surfaces by means of beam scanning are proposed in Harris, U.S. Patent 5,120,953, issued June 9, 1992, Ohki, U.S. Patent
25 5,122,653 issued June 16, 1992, Webb, U.S. Patent 4,768,874 issued September 6, 1988 and Pflibsen, U.S. Patent 4,991,953 issued February 12, 1991. Such proposals have not provided a handheld instrument which is readily usable by a surgeon in clinical examinations for imaging the epidermis and dermis,
30 especially in vertical sections or in horizontal sections at desired depths below the surface of the skin.

Summary Of The Invention

35 Accordingly, it is the principal object of the present invention to provide and improve clinical dermatological imaging system.

It is another object of the invention to provide an improved confocal imaging system which provides images of dermatological tissues and avoids the need for biopsies to detect the location of such abnormalities as basal cell carcinomas and melanomas.

It is a still further object of the present invention to provide an improved confocal dermatological imaging system which does not require ionizing radiation and may use a laser beam.

It is a still further object of the present invention to provide an improved confocal imaging system which provides in vivo imaging of dermatological tissue both at and below the skin and which may be handheld and which is capable of operating in various scattering media.

It is a still further object of the present invention to provide an improved confocal dermatological imaging system which may use a computer to generate images from data produced by the optics which provides confocal imaging and to display or provide images for further evaluation or computer enhancement.

Brief Description Of The Drawings

The foregoing objects, features and advantages of the invention will become more apparent from a reading of the following description in connection with the accompanying drawings in which:

FIG. 1 is schematic diagram of a confocal imaging system embodying the invention;

FIG. 1a is a plan view of the head of the system shown in FIG. 1;

FIG. 2 is a block diagram of the system shown in FIG. 1, and especially the computer control and imaging system for acquisition and processing of the optical image;

FIG. 3 is a schematic diagram of the handheld confocal imaging system of FIG. 2 in use.

Detailed Description Of The Invention

Referring to FIG. 1 there is shown a system 10 for in vivo diagnosis of dermatological tissues. The system 10 may be embodied in a handheld head 32 as shown in FIG. 1a and schematically in FIG. 3.

Referring more particularly to FIG. 1 there is shown a system 10 (or instrument) which contains optics of the type which are used in optical data storage heads which are used in recording and reading optical disks. Light from a laser diode, contained in a laser and collimator assembly 12, is collimated by a diffraction limited lens in the assembly 12 and is incident at an oblique angle on a beam splitter assembly 14. Refraction at this oblique angle causes the elliptical laser diode beam to become circular in cross-section. The circular beam passes through the beam splitter assembly 14 and a quarter wave plate 16 and is focused into the tissue 22 via a contact window 20 (a glass window plate) spaced from the sample, specimen or tissue 22 being examined, preferably by an optical contact liquid 21. In the event the sample is viscous or liquid, it may be located in a sample well (not shown).

The circular beam which passes through the beam splitter assembly 14 and the quarter wave plate 16 is focused into the sample by a precision focusing lens 18, which suitably has a numerical aperture of 0.5 and a focal length of 4.3 millimeters. These dimensions and parameters are exemplary and demonstrate that the optical system 10 may be miniaturized so as to be adapted to be handheld.

The quarter wave plate 16 converts the incident linear polarization from the laser in assembly 12 to circular polarization, i.e., the quarter wave plate is oriented 45° to the incident polarization. In other words, the beam from plate 16 is circularly polarized. The focusing lens 18 is movable both in a direction along its optical axis and laterally as indicated by the arrows 24 and 25, respectively. Position mechanical actuators 34 (FIG. 1a) may be used for moving the lens 18, and

thereby control position of the focus spot of beam in the sample. These actuators 34 may be similar to those used in optical disk systems. The lens 18 may be mounted on a pair of such mechanical actuators. The actuators 34 provide lateral and vertical scanning of the focused laser beam in the tissue sample.

The focusing lens 18 also collects scattered light reflected from the sample. The amount of coherent light scattered back into the detection system (which includes lens 18, plate 16 and assembly 14) depends upon local variations of the refractive index and the absorption in the immediate neighborhood of the focus spot. This coherent light may be defined as the component of the reflected light having a circular polarization orthogonal to the polarization of the beam focused into the tissue sample. The scattered light is incident to plate 16 and then to beam splitter assembly 14. The plate 18 converts the coherent component of the scattered light into linear polarization, where beam splitter assembly 14 directs by reflection (or filters) the coherent light component of the scattered light at the beam splitting surface 15 in the beam splitter assembly 14. The reflected light passes through a relay lens 26. The light from relay lens 26 may be reflected from a pair of fold mirrors 28 (See also FIG. 1a). These fold mirrors 28 may be part of the beam splitter assembly 14. The relay lens 26 may also be part of this assembly 14.

The scanned light from the focus spot is reflected from the fold mirrors 28 to a pinhole photodetector assembly 30, which may also be considered part of the detection system. The fold mirrors 28 are used to make the instrument more compact. A prism assembly may alternatively be used, which is part of the beam splitting assembly 14, and allows the samples to be placed face down. This orientation allows gravity to assist in maintaining the sample in a stable viewing position. Maintaining a stable viewing position is also enhanced by the use of the window 20 as shown in FIG. 1.

A top view of the instrument is illustrated in FIG. 1a. Typical dimensions are given in FIG. 1a to illustrate the compacted size of the confocal imaging head 32. The elements in the head 32 may be located on a single board to provide unitized construction. The height of the head may be approximately two inches from the base to the nominal focal point of the focusing lens 18.

By scanning using the mechanical actuators 34 successive lines may be scanned at successive depths to provide images of vertical sections (i.e., along a vertical plane through the tissue sample). If desired the images may be formed from horizontal sections (i.e., along a horizontal plane through the tissue sample) as the lines are scanned horizontally. By tilting the sample, sections at desired angles to the surface of the sample (i.e., along a tilted or non-perpendicular plane) may be formed, such sections may also be formed by moving the lens 18 via actuator 34 as desired angles.

Referring to FIG. 2, there is shown a block diagram of the data acquisition and analysis system which is part of the imaging system 10 provided by the invention. The confocal head 32 is the head shown in FIGS. 1 and 1a. The output 36 from the head 32 is the output from the pinhole detector assembly 30. This output 36 is the confocal detector signal. Signals are also provided from sensors 38, namely a lateral position sensor and a vertical position sensor. These signals after amplification and filtering are acquired by a analog to digital converter of a digital I/O board 40. This board 40 may also be on a board with a circuit which provides a digital to analog channel to drive the lateral motion actuator. The vertical scanning actuator is driven from a signal derived from a conventional signal generator 42. The A to D, D to A and digital I/O board 40 is controlled and data is acquired via software in a personal computer 44, such as a Macintosh Quadra 950. Conventional software packages may be used for image analysis and for driving a display 46, which is shown by way of example as a 1472 by 1088 pixel display.

Referring to FIG. 3, there is shown the confocal imaging head 32 contacted against the skin 48 of a subject specimen using a mineral oil as an optical index matching fluid, which is an optical contact liquid 21 (FIG. 1) for reducing undesired reflections of light from the surface of the skin. The force against the skin 48 will be limited to that required to press the skin against the contact window 20 of the head 32. A laser beam 50 which may be relatively low power (e.g., 6.3 milliwatts of optical power) is focused into the dermis of the specimen. The laser is operated at a wavelength capable of penetrating into the skin of the specimen, thus the skin may be considered transparent to the laser wavelength (or in other words, the skin is permeable to electromagnetic radiation of specified frequencies). The depth of focal point or spot 52 is varied from the surface of the stratum corneum to a few millimeters below the surface of stratum corneum. The nominal beam spot size may be, for example, 2.5 micrometers, full width half maximum. The laser spot is scanned laterally across the skin, for example at a rate of 3 to 10 hz. Different laser wavelengths may be selectively used for different resolution. Inasmuch as the energy delivered is proportional to the illuminating flux focused divided by the diameter of the spot, the scan length and the scan rate or frequency, the amount of incident flux is sufficiently low that damage to the specimen is avoided. The light scattered by the tissue is collected and the lights coherent component is re-imaged onto the pinhole aperture 54 of assembly 30, as shown in FIGS. 1 and 1a. The pinhole 54 transmits the coherent light from the focal region of the incident beam 53 to the detector 55 (of assembly 30) where it converts the light into an electrical signal. As the lens 18 scans laterally, the electrical signal is acquired by the computer and stored. Each scan represents a one dimensional trace of the reflectivity and scattering cross section of the dermis at a given level below the surface of the skin 48. A series of scans are made with the focal point positioned at

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progressively lower depths thereby providing a vertical cross section image of the skin which may be similar to a B-scan ultrasound image. As stated earlier, these scans may also be horizontal to provide a horizontal cross-section, or at an angle to provide an angular cross-section of the skin.

From the foregoing description it will be apparent that there has been provided an embodiment of a confocal imaging system for dermatological pathology applications. Variations and modifications of the herein described system and other applications for the invention will undoubtedly suggest themselves to those skilled in the art. Accordingly, the foregoing description should be taken as illustrative and not in a limiting sense.

What is claimed is:

1. A confocal imaging system for examination of a tissue sample having an exposed surface, said system comprising:
a housing which can be hand held;

5 confocal imaging optics in said housing for focusing on or below the exposed surface and scanning a focal spot in a plane through the tissue sample extending below said exposed surface;
and

10 a source of laser illumination which illuminates the tissue sample through the confocal imaging optics.

2. The system of Claim 1 wherein said confocal imaging optics further comprises means for collecting and imaging reflected light from the illuminated tissue sample.

15 3. The system of Claim 1 wherein said source is enabled to provide laser illumination at a wavelength transparent to said tissue.

20 4. The system of Claim 1 wherein said confocal imaging optics comprises a lens, and
means for moving the position of said lens to enable scanning of said focal spot through the tissue sample.

25 5. The system of Claim 4 wherein said moving means further comprises means for moving said lens in one of different said planes through the tissue sample so as to provide sections from the group consisting of: horizontally spaced sections, angularly spaced sections, and vertically spaced sections.

30 6. The system of Claim 4 wherein said moving means is provided by a plurality of positioning actuators.

35 7. The system of Claim 1 wherein said confocal imaging optics comprises means for converting said laser

illumination into circularly polarized light to enable said tissue sample to be illuminated by said circularly polarized light.

5 8. The system of Claim 7 wherein said confocal imaging optics further comprises means for collecting circularly polarized light reflected light from the illuminated tissue sample which is orthogonal to the circularly polarized light which illuminated the tissue sample.

10 9. The system of Claim 1 further comprising a window in said housing through which said confocal imaging optics illuminates said tissue sample, said window having a surface spaced from said tissue sample.

15 10. The system of Claim 9 further comprising an optical index matching fluid located between said surface of said window and said tissue sample.

20 11. The system of Claim 1 further comprising a detection system for receiving reflected light from the illuminated tissue sample, said received reflected light having a coherent component.

25 12. The system of Claim 11 wherein said detection system produces, responsive to said coherent component of said received reflected light, electrical signals representative of a section of said tissue sample.

30 13. The system of Claim 11 wherein said detection system further comprising a photo-detector assembly, and optical elements for relaying said coherent component of said reflected light to said photo-detector assembly, said photo-detector assembly being enabled to convert said coherent component of said
35 reflected light into electrical signals.

14. The system of Claim 12 further comprising means for collecting data representative of said electrical signals, and means for processing said collected data to display a scan image of said tissue sample based on said collected data.

5

15. The system of Claim 11 wherein said confocal imaging optics, said detection system, and said source are a unitized construction in said housing.

10

16. The system of Claim 1 wherein said laser illumination from said source is a laser beam, said system further comprises a photo-detector assembly for converting received light into electrical signals, and said confocal imaging optics comprise:

15

a beam splitter for receiving said laser beam from said source at an oblique angle and providing a circular beam;

a plate incident to said circular beam which polarizes said circular beam to provide a circularly polarized beam;

20

a lens incident to said circularly polarized beam to focus said circularly polarized beam into said tissue sample and to collect light returned from said tissue sample;

said returned light being incident to said plate and then to said beam splitter; and

25

said beam splitter reflects part of said returned light incident thereto, and said reflected part of said returned light is optically coupled to said photo-detector assembly.

30

17. The system of Claim 16 wherein said returned light from said tissue sample collected by said lens has a component which is circularly polarized orthogonal to the beam focused into the tissue sample, said plate converts the component of the returned light into linearly polarized orthogonal light, and said beam splitter by reflecting part of said returned light filters said component from said returned light.

35

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18. A method of providing a display of a tissue section, said section being a section of a subject which is located below an exposed surface of tissue, said method comprising the steps of:

5 directing a laser beam, via confocal optics having a lens, to the tissue;

varying position of said lens to scan a focal spot through said section below the surface;

10 detecting light returned from the tissue as said spot scans;

converting said light into electrical signals; and processing said signals to provide a display of said section.

15 19. The method of Claim 18 wherein said laser beam operates at a wavelength transparent to the tissue.

20 20. The method of Claim 18 wherein said step of detecting light is responsive to said position of said lens.

21. The method of Claim 18 wherein said step of varying the position of said lens further comprises the step of varying the position of said lens to scan along a plane oriented below the surface so as to provide sections from the group
25 consisting of: horizontally spaced sections, angularly spaced sections, and vertically spaced sections.

22. The method of Claim 18 wherein said step of directing a laser beam via confocal optics further comprises the
30 steps of converting said laser beam into circularly polarized light, and illuminating said tissue by said circularly polarized light.

23. The method of Claim 22 wherein said step of
35 detecting light returned from the tissue further comprises the

step of collecting circularly polarized light reflected from the illuminated tissue which is orthogonal to the circularly polarized light which illuminated the tissue.

5 24. The method of Claim 18 wherein said step of directing a laser beam via confocal optics further comprises providing a window having a surface through which said confocal optics directs said laser beam to the tissue, and providing an optical index matching fluid located between said surface of said
10 window and said surface of said tissue to reduce light reflected from the surface of the tissue.

 25. The method of Claim 18 wherein said step of directing a laser beam via confocal optics having a lens to the
15 tissue further comprises the steps of:
 translating said laser beam into a circular beam;
 circularly polarizing said circular beam; and
 focusing with said lens said circularly polarized beam
20 into said tissue.

 26. The method of Claim 25 wherein said step of detecting light returned from the tissue as said spot scans further comprises the steps of:
 collecting with said lens light returned from said
25 tissue, said returned light having a component of circularly polarized light orthogonal to said circularly polarized beam focused into said tissue;

 linearly polarizing said component of said returned light; and
30 filtering said linearly polarized component, wherein said converting step is responsive to said filtered linearly polarized component of said returned light.

 27. The system of Claim 1 wherein said tissue sample
35 is a tissue specimen, or in-vivo tissue of a patient.

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28. The system of Claim 27 wherein said in-vivo tissue is dermal tissue.

29. The system of Claim 1 wherein said source of laser illumination is in said housing.

30. The method of Claim 18 wherein said subject is a patient or a tissue specimen.

31. The method of Claim is wherein said subject is a patient, and said tissue is in-vivo tissue of said patient.

32. The method of Claim 31 wherein said in-vivo tissue is located in dermal tissue layers.

33. The method of Claim 18 wherein said step of varying position of said lens further comprises the step of varying position of said lens to scan a focal spot over a succession of liens in said section below said exposed surface.

34. The system of Claim 1 further comprising a window through which said confocal imaging optics illuminate said tissue sample, said housing and said window being in assembled relationship.

35. The system of Claim 1 further comprising a window through which said confocal imaging optics illuminate said tissue sample, said tissue sample and said window being pressed together in contacting relationship during imaging.

36. the method of Claim 18 wherein said directing step further comprises the step of providing a window through which said confocal optics direct said laser beam to the tissue.

37. The method of Claim 36 further comprising the step of pressing together said window and the surface of said tissue together into contacting relationship.

5 38. A hand held microscope imaging apparatus for imaging tissue samples comprising;

 an objective lens of said microscope; and

10 a window assembly defining a tissue contacting surface in direct pressure contacting relationship with a surface of said tissue sample when said tissue sample is imaged by said objective lens.

15 39. The apparatus of Claim 38 wherein said window assembly includes said objective lens, and means for moving said assembly with respect to said tissue surface.

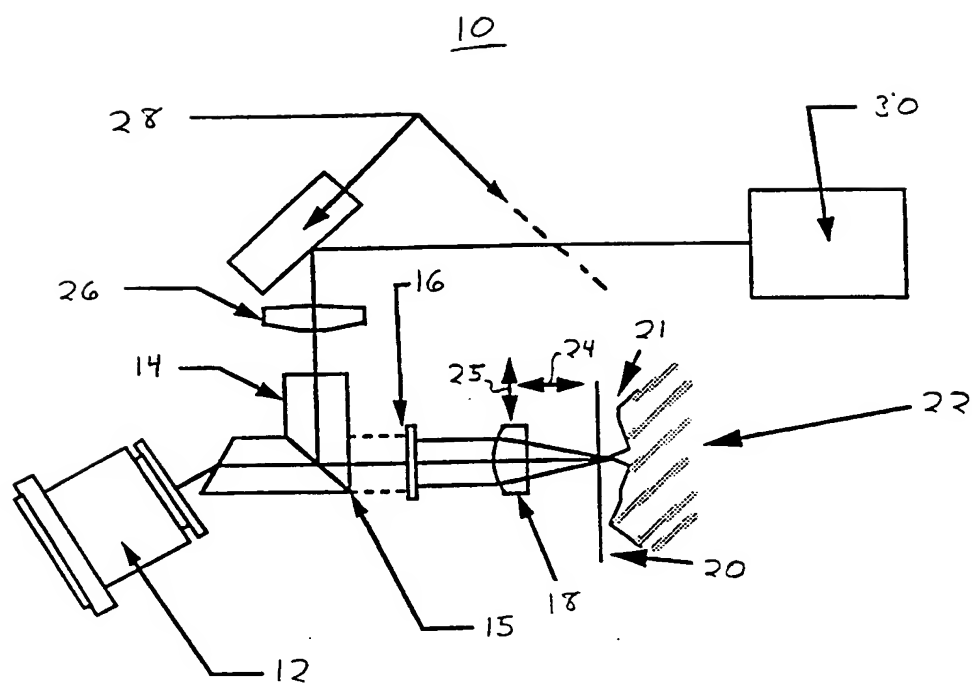


Figure 1

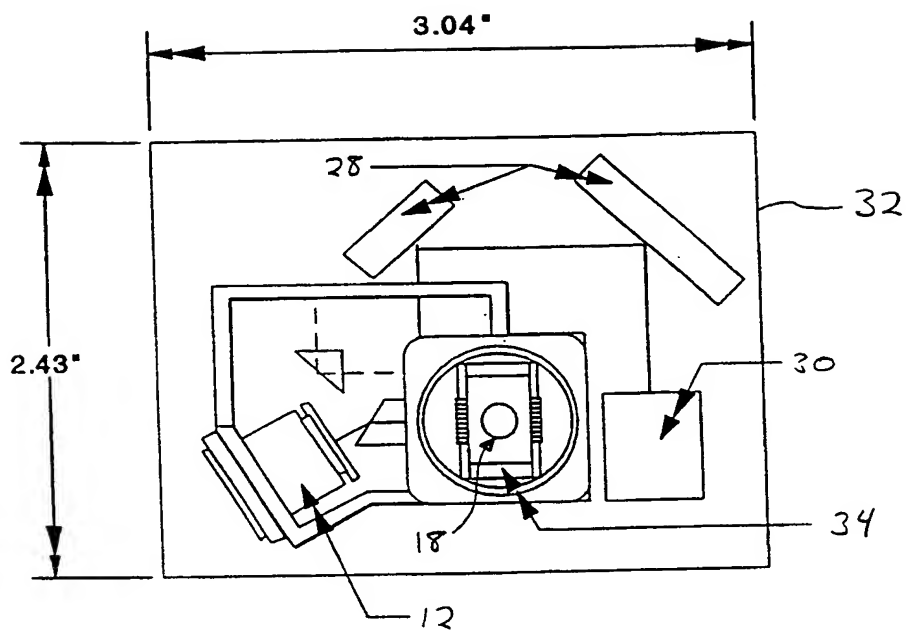


Figure 1a

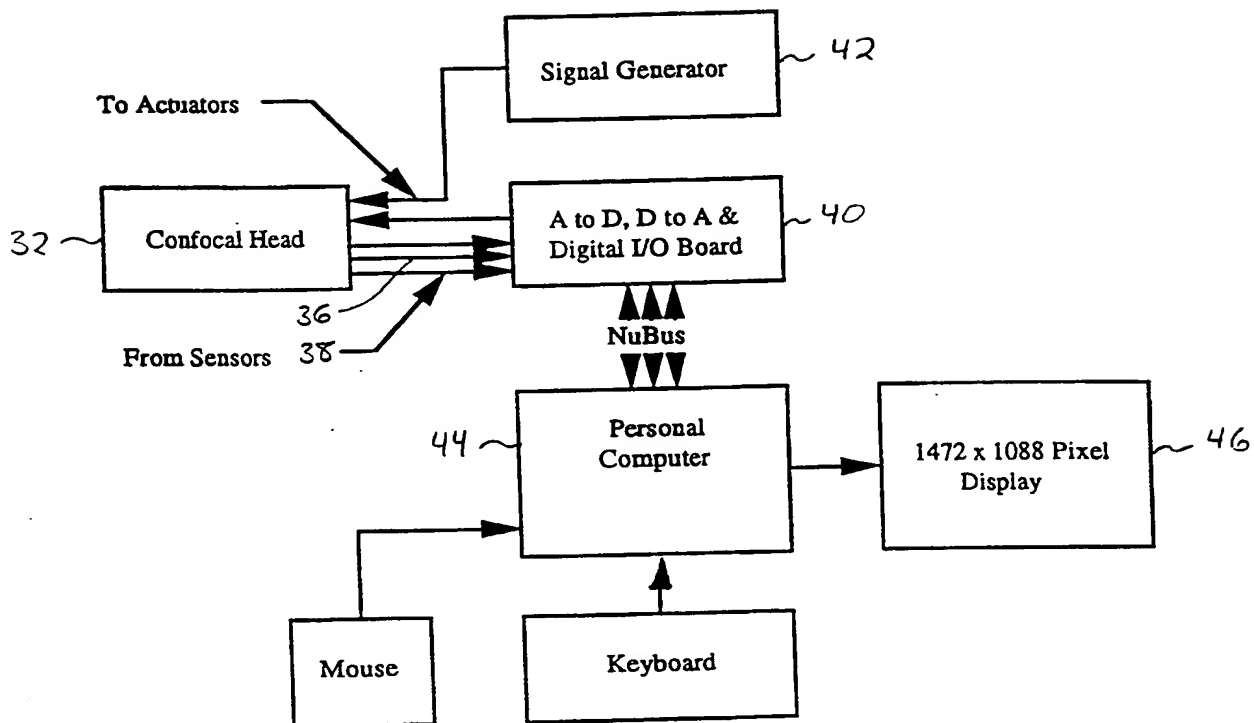


Figure 2

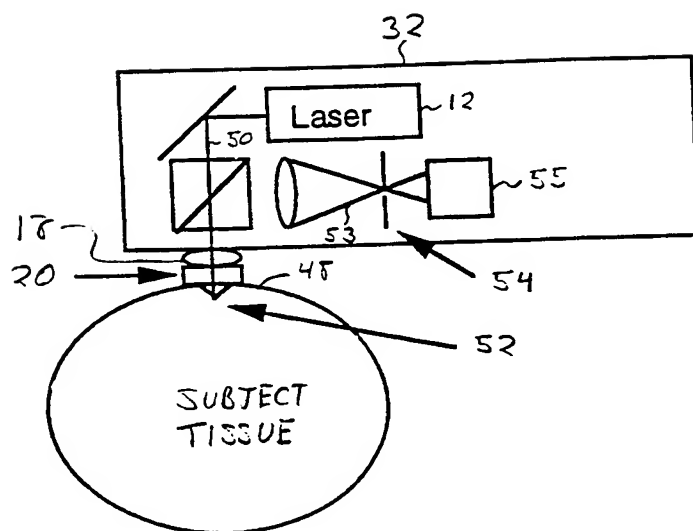


Figure 3

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US97/11472

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :GO2B 21/00

US CL :359/368

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 359/368,386,389,393,398,507

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	BRUMBERG et al. Hand-held contact microscope for medical examinations. Soviet Journal of Opt. Tech. January 1974. Vol 41.No.1	38,39
Y	figure 1.	9,15,34-37
Y	US 4,965,441 A(PICARD) 23 October 1990 (23/10/90), see entire document.	1-37
Y	US 4,863,252 A(MCCARTHY ET AL) 05 September 1989 (05/09/89), col.1, lines 34-42.	4-6
Y	US 5,048,942 A(OHBAYASHI) 17 September 1991 (17/09/91), fig. 6.	10,24
Y	US 5,386,317 A(CORLE ET AL) 31 January 1995 (31/01/95), see entire document.	7,8,16,17,22,23,25,26

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

15 OCTOBER 1997

Date of mailing of the international search report

29 OCT 1997

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